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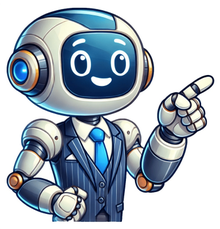

Series 79 study guide

STCUSA courses use their materials to guide you through a pre-made study plan. The creation of the Series 79 exam was part of FINRA's effort to offer more relevant exams for professionals in more narrowly focused area of practice.Changes to the Series 79 examLike the Series 7, The Series 79 will undergo a significant change starting in October 1, 2018.The pre-Oct. 1, 2018 Number of Questions 75 (+10 experimental Qs) Format Multiple Choice Duration 150 minutes Passing Score TBD Cost TBD Series 79 topicsThe Series 79 exam broadly covers the following topics: Data collection (required SEC filings and other documents) Various types of securities (debt, equity, options, derivatives) Economics and capital markets Financial Analysis Valuation M&A Process and Deal Structure General Securities Industry Regulation (no longer tested starting in Oct. Cost-effective and qualified exam help from a committed exam preparation company.Series 79 Study Guide (printed book) Series 79 Study Guide (ebook download) Series 79 Exam Flashcard Study System Completing the Series 79 exam is an essential first step toward becoming an investment banker. Both of those can bump the cost above $500. Complex topics such as discounted cash flow, enterprise value, accretion / dilution analysis and inventory valuation methods are all made easy through our detailed explanations, illustrations and test based scenarios. On-Demand Video Lectures: Benefit from expert-led video sessions that elucidate challenging topics, ensuring you grasp every detail. 1 , 2018). Mometrix has designed a new Study Secrets course to help every student, no matter what study scenario you are in. These cost $370, $525, and $625 respectively, but they frequently go on sale. If you only know the right answers to the sample questions, you won't be prepared for the real thing. 1, 2018 Number of Questions 175 (+10 experimental Qs) Format Multiple Choice Duration 300 minutes Passing Score 73% Cost $305 Series 79 format for registration on or after Oct. Depending on the amount of investment banking training you've gone through, expect to spend anywhere from 60 to 100 hours preparing for the Series 79 exam. Your mind will be ready for the schedule and stress of test day, and you'll be able to focus on recalling the material you've learned.FAQs Home / Series 79 / Series 79 Textbook Series 79 Exam Preparation Compare Study Packages Exam Textbook Video Class Exam Question Test Bank Free Series 79 Practice Questions FAQs Series 79 Overview Our series 79 study guide and exam review textbook covers every detail you will need to know to pass your exam. Key features include: Interactive Learning Portal: Gain access to a user-friendly platform that offers a structured study plan, ensuring that you cover all essential topics. As long as the investment banker is engaged exclusively in investment banking activities, this exam can be taken instead of the far broader (and less relevant) Series 7 exam. This is done on PC so the outcomes are given directly after the exam as a pass or come up short, and also a breakdown of the execution in every segment. Do you need more repetitions on this type of question to build speed and confidence? Securities Institute of America (SIA) is renowned for its dedication to providing top-tier study materials for various securities exams, and its Series 79 study material is no exception. Plus, question contents are frequently updated at no extra charge. They offer a wide range of independent study methods and materials that are perfect for the self-motivated student. Lectures are followed up by practice tests to ensure you can apply your new knowledge and keep everything fresh in your mind for exam day. Designed to ensure your success on the series 79 exam. Capacity 1 - Collection, Analysis and Evaluation of Data 75 Capacity 2 - Underwriting/New Financing Transactions, Types of Offerings, and Registration of Securities 43 Capacity 3 - Mergers and Acquisitions, Tender Offers and Financial Restructuring Transactions 34 Capacity 4 - General Securities Industry Regulations 23 Total 175 Study Guide And Test Preparation For Series 79 Exam A Complete Series 79 Exam Study Guide that includes sample questions, test tips and a complete study plan prepared by a team of expert and dedicated researchers. Specifically, someone who passes the Series 79 is permitted to engage in the following activities: Debt and equity offerings (private placement or public offering) Mergers and acquisitions and tender offers Financial restructurings, divestitures or other corporate reorganizations Asset sales vs stock sales Business combination transactions Prior to the creation of the Series 79, finance professionals engaging exclusively in investment banking had to take the Series 7 exam. The pretest questions are unmarked and mixed amongst the scored questions, so you will not know which are the pretest questions.The exam is split into three sections.1. Collection, Analysis, and Evaluation of Data (49%)The 37 scored questions in this section test your knowledge and abilities in the following:Collection of dataAnalysis and evaluation of dataDue diligence activities2. 1 2018.How to Use This Learning Guide As the instructor presents the material through the On-Demand lecture, use this Learning Guide to take notes, answer questions, and complete activities. It's good to check along the way to see whether your studying is paying off. How to Pass the Series 79 Exam The base price for Knopman's self-study materials is $500. Thank you very much for your cooperation. Underwriting/New Financing Transaction, Types of Offerings, and Registration of Securities (27%)The 20 scored questions in this section test your knowledge and abilities in the following:Public offeringsActivities of the underwriting syndicateExecution and distributionPost-execution activities for financing dealsSecurities and transactions exempt from the registration requirements of the Securities Act of 19933. You'll also need to factor in transportation and the price of a prep course if you plan on using one to study. If you forget to bring your ID or if your ID is invalid, you will not be allowed to take the exam.Before you enter the testing room, you will be asked to leave all personal items in an assigned locker. These are split up into key areas based on major job functions. Below we list … Since it's such an important job, the test to become a licensed insurance broker can be very difficult; you'll need to put in some serious study time if you want to pass on your first try. Much like the Series 7 exam, it's an important top-off that measures your ability to advise or facilitate public and private offerings and sales. The 300-page textbook is a great crash course in all the essential topics you'll need to know in order to pass the exam and start working professionally as a registered representative. SIA's Series 79 study material is a blend of comprehensive content and practical exam strategies. 1, 2018, the Series 79 is a 2-hour 30-minute long, 75 multiple choice question exam. Qualification for the Series 79 Exam The applicant must be supported by a FINRA part firm to be qualified to take the Series 79 exam. Keep taking practice tests on a regular basis until you run out of practice tests or it's time for the actual test. Click below to check it out!Test DayThe Series 79 exam is administered at Prometric testing centers across the country. Similar to the prerequisite SIE exam, completing a Series 79 prep course will massively increase your chances of passing your investment banking representative qualification exams. Thanks to this, you can apply everything you've learned to your own professional experience— and you never have to worry about distractions while studying. You will be asked to roll back your sleeves and pull up your pant legs.Once you are in the testing room, you will be given a four-function calculator, a small whiteboard, dry-erase markers, and noise-canceling headphones. In addition, a corequisite exam called the Securities Industry Essentials (SIE) will test for the general knowledge that's been removed from the Series 79 content outline. See FINRA's website for more details. Dig into those questions and figure out how you can strengthen your weak areas as you go back to review the material.Answer ExplanationsAdditionally, many Series 79 practice tests have a section explaining the answer choices. This means some new hires will already be quite familiar with exam concepts (often through a Wall Street Prep training program), thereby reducing the amount of time required for Series 79-specific studying. Note that most exam prep providers also offer an in-person training option, which we did not include here.We will update this list once these providers revise their Series 79 study materials ahead of Oct. Your tracking number will be emailed to you Online Tools Accessible from any computer, phone or tablet Test Tips Video to Improve Your Score Cheat Sheets The ultimate shortcut and final crunch time reference guide Phone, Email & Chat Support Exam Format: 75 multiple-choice questionsRead more exam details about the Series 79 exam including exam restructuring, general information, and best practices. Updated January 2025 Greenlight Guarantee Pass your exam or money back!* Demo Video Watch Demo Video TotalGenius! Online Account Access 180 days Physical Perfect Bound Textbook 312 pages Video Training Class 11 hours, 11 videos, 11 section tests Test Bank 1,000 questions Number of ways to customize exams 15 Comprehensive Question & Exam Reports Unlimited Randomized Simulated Final Exams Unlimited Topic Focused Practice Exams Checkpoint Exams Greenlight Exam Exam Plan Personal Study Calendar Shipping Free UPS Shipping. STC Has Been The Go-To in Securities Training for Over 50 years There are currently 3 packages available for STCUSA's Series 79 course: Standard, Premier, and Premier Plus plans. Or just don't know how to begin studying? This perfect bound college quality textbook contains comprehensive examples for all of the topics you will be responsible for on test day. This course is made up of 21 chapters, covering all topics in the exam. Here are some of the many features and materials included with STCUSA's courses: Crunch Time Facts 24/7 Support 6-Month Enrollment Period Online and Printed Study Manual Practice Exams On-Demand Lectures Flashcards Pass Guarantee Pros and Cons TAKE ME TO STCUSA SERIES 79 3. These emulate the actual FINRA exam as closely as possible, so you can be assured you're only operating off of accurate information. The Series 79 exam—the Investment Banking Representative Qualification Examination (IB)— evaluates the competency of a passage level enlisted agent to perform his or her occupation as a speculation financier. Take the second practice test "open book" as well, but set a timer and practice pacing yourself to finish in time.Simulate Test Day. The exam measures the extent to which every competitor has the learning expected to perform the basic elements of a venture keeping money delegate. This exam review guide will make you a master of all things tested on your series 79 exam. Set a timer and put away your study materials. 1, 2018, the Series 79 will be shorter, but will need to be taken along with the SIE (unless you take the SIE on your own before getting hired). Meanwhile, there will be a corequisite exam, the Securities Industry Essentials (SIE) which will test for the general knowledge that's been removed from the Series 79 content outline.To learn more about each topic and to compare how the old Series 79 will compare to the new Series 79, you can review this content outline.Studying for the Series 79This is going to be fun Most investment banks will provide new hires with study materials and will dedicate a week of uninterrupted study time.Unlike the Series 7, which is widely considered irrelevant to a finance professional's day-to-day work, the Series 79 test concepts applicable to real-world investment banking. 312 pages Free Shipping Greenlight Guarantee Pass your exam or money back!* Updated January 2025 Want instant access to an on demand tutor? One of the most effective ways to do this is by taking Series 79 practice tests to evaluate your progress. Written by the experts at The Securities Institute of America. Take your time and focus on applying the strategies you've learned.Time Yourself. Series 79 Exam Prep Training ProvidersTrying to pass the Series 79 without third-party materials is impossible, so your employer will provide study materials, or you'll need to seek out your own Series 79 exam prep.Below we list the most well known Series 79 training providers. Maybe on a study-time crunch? Take the first test with no time constraints and with your notes and Series 79 study guide handy. 1, 2018. Enrollment for the test is $245, but that won't be everything you need to pay for. Knopman Marks Knopman offers blended classes for general securities exam preparation. If you arrive late, you will not be able to take the exam.Once you arrive at the testing center, you will be asked to provide a valid, government-issued photo ID. Personal items include your cell phone, bags, keys, wallet, food, books, and pencils. Take a look and find out which ones can best prepare you for a rewarding career as an insurance broker! AIS RANKING #1 #2 #3 #4 COURSE NAME PRICE Check PriceCheck Price$169 $595 PRACTICE EXAMS Yes Yes Yes Yes LIVE CONTENT No Premier Plus Only No All-Access Pass Only BONUS MATERIALS Greenlight Guarantee Greenlight exams, Flashcards, In-person classes Performance Tracker, Checkpoint exams, Free content updates Mobile app, Benchmark exams, Online training center PASS GUARANTEE Yes Premier and Premier Plus Only No No SUPPORT Chat, Phone, Email, Social Media Phone, Fax, Email, Social Media Phone, Email, Social Media Phone, Email, Social Media GET STARTED Start NowStart NowStart NowStart NowWordPress Tables 1. The Series 79 exam requires you to complete 75 multiple-choice questions in 150 minutes. However, if you fail 3 times in a row, you'll need to wait 180 days before trying again. As with the Series 7, you must be sponsored by an employer to take the Series 79. Applicants have five hours to finish the exam. TotalGenius! is the first AI powered real time instructor for the securities exam prep industry. Knopman's comprehensive course includes everything you need to study and succeed, such as: Textbook & eBook Digital Flashcards Mobile App 10+ Hours of Video Content Practice Exams Training Center Downloadable Supplements Tutoring Sessions Pros and Cons FINRA Series 79 Exam FAQs What can you do with a Series 79 license? That's why I've thoroughly examined the best and most popular Series 79 study courses below. Once you've got both of these, you're free to get started on your studying. It can be tempting to read the explanation and think that you now have a good understanding of the concept. However, you do not need sponsorship to take the SIE.Series 79 format for registration prior to Oct. Take any other practice tests as if it were test day. It provides: Dozens of examples based on real student feedback Hundreds of practice questions for each subject area covered in the exam Priceless test-taking tips and strategies Helpful hints on how to study for the test, manage stress, and stay focused. However, if you want unlimited access to instructor-led courses, then you'll need to pay an additional $225 for the all-access pass. You will receive a preliminary score report as soon as you finish the exam.The passing score is 73.Check out Mometrix's FlashcardsGet complex subjects broken down into easily understandable concepts Get Your Flashcards How to Prepare for the Series 79 ExamHow to Study EffectivelyYour success on Series 79 test day depends not only on how many hours you put into preparing but also on whether you prepared the right way. Kaplan Financial Education's securities licensing exam prep packages provide all the necessary tools to help you prepare, practice, and perform on the … Trying to pass the Series 79 without third-party materials is impossible, so your employer will provide study materials, or you'll need to seek out your own Series 79 exam prep. Even if the explanation makes sense, go back and investigate every concept related to the question until you're positive you have a thorough understanding.Comprehend Each TopicAs you go along, keep in mind that the Series 79 practice test is just that: practice. 1, 2018) Like most other FINRA exams, the Series 79 exam is undergoing a significant change beginning Oct. Designed meticulously to cater to the needs of investment banking professionals, this study material ensures that candidates are well-prepared to tackle the Series 79 exam. These are scheduled courses that use a combination of recorded lectures, faculty-led coaching, and review sessions. Each new lesson reinforces what you've learned elsewhere, and plenty of self-study materials are available for you to use on your own time. Customizable Quizzes: Target your weak areas by creating custom quizzes, allowing for focused revision. Every time you take a free Series 79 exam practice test, pay special attention to these three groups of questions:The questions you got wrongThe ones you had to guess on, even if you guessed rightThe ones you found difficult or slow to work throughThis will show you exactly what your weak areas are and where you need to devote more study time. Depending on whether you want more lecture content or more practice tests, different packages may work better for your study needs. This includes: Valuation analysis Financial restructuring Corporate transactions It's a decent educational resource on its own, but it works even better as a supplement to SIA's online test prep course. Comprehensive Study Guide: Dive deep into the intricacies of investment banking with a detailed textbook that breaks down complex concepts into digestible information. Memorizing these questions and answers will not be very helpful on the actual test because it is unlikely to have any of the same exact questions. Here's what you'll find in the Study Secrets Course:Techniques to Conquer ProcrastinationSteps to building a Study Plan custom to your learning style7 Effective Note-Taking MethodsTest-Taking TipsMemory Techniques and MnemonicsAnd much more!Everyone learns differently, so we've tailored our Study Secrets Course to ensure every learner has what they need to prepare for their upcoming exam or semester. How much does the Series 79 exam cost? The passing score is 73 percent. For more data on qualification prerequisites, please see the principle site. There are many options available, making it difficult to choose the best Series 79 study guides. Mergers and Acquisitions, Tender Offers, and Financial Restructuring Transaction (24%)The 18 scored questions in this section test your knowledge and abilities in the following:Sell-side transactionsBuy-side transactionsFairness opinionsSigning to closingTender offer regulationsFinancial restructuring/bankruptcyCheck Out Mometrix's Series 79 Study GuideGet practice questions, video tutorials, and detailed study lessons Get Your Study Guide Exam RegistrationIf you are employed or associated with a FINRA member firm, the firm can register you for the exam via a U4 form. However, you must also complete the SIE exams to obtain registration for an investment job. However, an explanation likely only covers part of the question's broader context. Knopman has created an industry-leading training program with an incredible 96% first-time exam pass rate. Based on the content outline provided by FINRA for the Series 79, we expect that the combined study time required to pass both exams will be a bit higher than the current study time required to pass the Series 79 alone. Format of the Series 79 Exam The Series 79 comprises of 175 scored questions and an extra 10 unscored pretest questions. This is compatible with Mac or PC and can even be accessed on most tablets and smartphones. On the first try—with flying colors! Image not available forColor: To view this video download Flash Player In order to continue enjoying our site, we ask that you confirm your identity as a human. Sit at a table or desk in a quiet room, imagine yourself at the testing center, and answer questions as quickly and accurately as possible.Keep Practicing. This is a powerful combination that leads to great results, and it doesn't necessitate a strict study schedule. However, the timer will not be paused during your break.How the Exam is ScoredYour test score is placed on a scale with all other test-taker's test scores, and adjustments are made to the score to account for variations in difficulty. 1, 2018 Series 79 is a five-hour long, 175 multiple choice question exam.Starting on Oct. All offer a self study program with some combination of videos, printed materials, practice exams and question banks, and all fall roughly in the $300-$500 ballpark depending on how many bells and whistles you want. What is the wait time between Series 79 exam attempts? From the most basic data collection to the most advanced financial analysis topics, our textbook guides you through the concepts in a logical step by step progression. While most topics will remain essentially unchanged, one notable difference is the elimination of questions on general securities industry regulation, which accounted for 13% of the pre-Oct. Practice Exams: Test your knowledge with simulated exams that mirror the actual Series 79 test format, helping you get accustomed to the exam environment. The exam fee is $300 regardless of which method of registration you choose.Once your registration request is processed, you will be notified and given a 120-day window to take the exam.How to StudyThink you aren't a good test-taker? Ask yourself why each of these questions gave you trouble. About the Series 79 Exam Review Guide This best-in-class series 79 exam prep study guide details everything you need to know. This incorporates prompting on or encouraging obligation or value offerings through a private arrangement or open offering, and exhorting or encouraging mergers and acquisitions, delicate offers, money related restructurings and resource deals. Although practice exams are the focus of Kaplan, that's not all they have to offer. Until then, a good rule of thumb is that practice exam scores of 80 or above indicate Series 79 preparedness.After Oct. Series 79 Exam Prep Study Materials. You must wait 30 days after completion before attempting the Series 79 exam again. After the exam begins, you will be allowed to take a break. You will also need to pay for each attempt— so it's highly recommended that you study enough to pass on your first try! The Series 79 exam, also called the Investment Banking Representative Qualification Examination, is an exam administered by FINRA for investment banking professionals. The Series 79 Exam Study Guide is your ticket to passing the Series 79 test. Be sure to spend at least 20 hours of that study time on practice exams and questions (all the Series 79 test prep providers below provide question banks and practice exams). Image not available forColor: To view this video download Flash Player The Investment Banking Representative Exam (Series 79 exam), administered by the Financial Industry Regulatory Authority (FINRA), is used to assess your knowledge and abilities to perform adequately as an investment banking representative.Click "Start Test" above to take a free Series 79 practice test, and check out our premium-quality Series 79 test prep resources by clicking the links below!Series 79 Exam EligibilityTo be eligible for this exam, you must be sponsored by a FINRA member firm or other applicable SRO member firm.Series 79 Exam OutlineThe Series 79 exam contains 85 multiple-choice questions, ten of which are unscored, and you are given a time limit of 2.5 hours. This textbook is an absolute must for anyone considering sitting for the series 79 exam. Was it because you didn't understand the material? Study the concepts until you understand them fully, and then you'll be able to answer any question that shows up on the test.Strategy for Series 79 PracticeWhen you're ready to start taking practice tests, follow this strategy:Remove Limitations. That way, they can keep up with industry standards. Passing the Series 79 exam is a requirement for any entry-level job in investment banking. You'll spend most of your time answering multiple-choice questions. Designed to build your knowledge of all areas covered in the exam. You should arrive at the testing center 15-30 minutes before the scheduled exam time. Included with this course are: Exam Tips Content Updates SecuritiesPro QBank Performance Tracker Study Calendar License Exam Manual Pros and Cons TAKE ME TO Kaplan Series 79 4. Ready to answer all of your Securities Exam questions 24X7. The unscored questions, which are called "pretest" questions, are used by the exam administrators to evaluate questions for future versions of the exam. Pros and Cons 2. Their FINRA Series 79 catalog includes a textbook, exam prep software, and a combo package that includes both. Securities Training Corporation STCUSA is impressive in the way they implement study materials to reinforce learning. Was it because you didn't remember the vocabulary? The Series 79 exam has a passing score of 73% (this may change after Oct. 1, 2018 Series 79. How long is the Series 79 exam? They've done so by including comprehensive study materials in addition to instructor guidance that can be accessed at any time. This textbook provides extraordinary detail covering: Discounted cash flow Financial analysis PEG ratios Pro Forma financial statements Deferred tax issues Weighted average cost of capital Mergers and acquisitions Compiling the confidential information memorandum Creating a data room Bid evaluation Tender offers Financial restructuring SEC Reporting Regulation M-A Cross border complications And more The Series 79 Exam Study Guide arms you with everything you need to pass this 75-question test. If you are getting ready for the Series 79 exam, then consider using this study guide to prepare you. If you are not employed or associated with a FINRA member firm, you can open an enrollment window via FINRA's Test Enrollment Services System (TESS). Kaplan If you're only interested in self-study material, then Kaplan is a good option for exam prep. Practice tests are useful because they show exactly where you need to improve. Securities Institute of America Our top pick is the Securities Institute of America due to its multifaceted approach to test prep.

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